# Soil carbon sequestration in a pine forest after 9 years of atmospheric CO<sub>2</sub> enrichment

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# Abstract

The impact of anthropogenic  $CO_2$  emissions on climate change may be mitigated in part by C sequestration in terrestrial ecosystems as rising atmospheric CO<sub>2</sub> concentrations stimulate primary productivity and ecosystem C storage. Carbon will be sequestered in forest soils if organic matter inputs to soil profiles increase without a matching increase in decomposition or leaching losses from the soil profile, or if the rate of decomposition decreases because of increased production of resistant humic substances or greater physical protection of organic matter in soil aggregates. To examine the response of a forest ecosystem to elevated atmospheric CO<sub>2</sub> concentrations, the Duke Forest Free-Air CO<sub>2</sub> Enrichment (FACE) experiment in North Carolina, USA, has maintained atmospheric  $CO_2$  concentrations 200  $\mu$ L L<sup>-1</sup> above ambient in an aggrading loblolly pine (*Pinus taeda*) plantation over a 9-year period (1996-2005). During the first 6 years of the experiment, forest-floor C and N pools increased linearly under both elevated and ambient CO<sub>2</sub> conditions, with significantly greater accumulations under the elevated CO<sub>2</sub> treatment. Between the sixth and ninth year, forest-floor organic matter accumulation stabilized and C and N pools appeared to reach their respective steady states. An additional C sink of  $\sim 30 \text{ g Cm}^{-2} \text{ yr}^{-1}$  was sequestered in the forest floor of the elevated CO<sub>2</sub> treatment plots relative to the control plots maintained at ambient CO<sub>2</sub> owing to increased litterfall and root turnover during the first 9 years of the study. Because we did not detect any significant elevated CO<sub>2</sub> effects on the rate of decomposition or on the chemical composition of forest-floor organic matter, this additional C sink was likely related to enhanced litterfall C inputs. We also failed to detect any statistically significant treatment effects on the C and N pools of surface and deep mineral soil horizons. However, a significant widening of the C:N ratio of soil organic matter (SOM) in the upper mineral soil under both elevated and ambient CO<sub>2</sub> suggests that N is being transferred from soil to plants in this aggrading forest. A significant treatment × time interaction indicates that N is being transferred at a higher rate under elevated  $CO_2$  (P = 0.037), suggesting that enhanced rates of SOM decomposition are increasing mineralization and uptake to provide the extra N required to support the observed increase in primary productivity under elevated CO<sub>2</sub>.

*Keywords:* acid hydrolysis, carbon sequestration, <sup>13</sup>C stable isotope, drought effects, FACE experiment, forest floor, ice-storm effects, loblolly pine, particle-size fractions, progressive nitrogen limitation, soil carbon sink, soil organic matter

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# Introduction

Forest soils may function as dynamic sinks for anthropogenic CO<sub>2</sub> if enhanced primary productivity associated with rising atmospheric CO2 concentrations increases organic matter inputs to soils without corresponding increases in decomposition (Lichter et al., 2005). Soil C sinks may also develop if organic matter inputs are sequestered in chemically recalcitrant compounds (Davies et al., 2001; Hoosbeek et al., 2007) or are physically protected within soil aggregates (Christensen, 1996; Jastrow et al., 1996, 2005). Experimental studies have shown enhanced C sinks in the soil organic matter (SOM) of a prairie ecosystem as rates of microaggregate formation increased under elevated CO<sub>2</sub> (Jastrow et al., 2000, 2005; Williams et al., 2000, 2004). However, other studies have failed to detect significant changes in soil C in response to elevated CO<sub>2</sub> (Hungate et al., 1996, 1997; Hagedorn et al., 2003; Lichter et al., 2005), or have demonstrated soil C loss when microbial respiration and decomposition accelerated with changes in microbial community composition or activity (Heath et al., 2005; Carney et al., 2007; Billings & Ziegler, 2008). These different results suggest that the source-sink dynamics of soils may depend on inherently variable local factors that make generalization difficult. Nevertheless, a fundamental understanding of the capacity of soils for long-term sequestration of atmospheric C is necessary for predicting ecosystem response to rising atmospheric CO<sub>2</sub> concentrations and the potential feedbacks to the global C cycle (Luo et al., 2003, 2006).

In a recent review of atmospheric  $CO_2$  experiments across a range of ecosystem types, Van Groenigen *et al.* (2006) concluded that significant C accumulation in soils required rates of N supply well above typical inputs derived from atmospheric deposition, and that biological N fixation was an unlikely source of additional N in most ecosystems because of other nutrient constraints. This result is consistent with studies of ecosystem recovery following decades of agricultural SOM depletion, showing that soil C accumulation is controlled by the availability of N from atmospheric deposition and symbiotic N fixation (Knops & Tilman, 2000). Sequestration of C in soils is, thus, likely to be constrained by the availability of exogenous sources of N (Hungate *et al.*, 2003).

Several researchers have postulated that, in general, the productivity response to elevated CO<sub>2</sub> should also be constrained by the availability of N or other limiting soil nutrients (Diaz *et al.*, 1993; Melillo *et al.*, 1993; Rastetter *et al.*, 1997). Because N is an intrinsic component of organic matter, C sequestration in long-lived plant tissues and soils will also sequester N, possibly making it unavailable for plant uptake and growth. Progressive N limitation (PNL) may, therefore, accompany C sequestration in plants and soils stimulated by CO<sub>2</sub> fertilization, gradually attenuating the CO<sub>2</sub> response (Luo et al., 2004; Finzi et al., 2006; Johnson, 2006). Mechanisms that might alleviate or delay PNL include increased nutrient-use efficiency of plants as indicated by higher C:N ratios of plant tissue (Vitousek, 1982; Calfapietra et al., 2007), mining of N from SOM pools of low C:N ratio and transfer to plants (Rastetter et al., 1997; Luo et al., 2004), and generally increased rates of microbial activity in soils that can result in increased availability of N to plants. There is evidence that each of these mechanisms may alleviate PNL in ecosystems under elevated CO<sub>2</sub>, at least temporarily. Widening ecosystem C:N ratios have been observed under elevated CO2 in woodlands and forests (e.g. Finzi et al., 2006; Hungate et al., 2006; Norby & Iversen, 2006). Mining of soil N from low C:N pools has been observed in a scrub oak woodland (Hungate et al., 2006) and in a grassland ecosystem (Gill et al., 2006), and isotopic evidence suggests increases in rates of microbial activity influencing plant N supplies in a desert ecosystem exposed to elevated CO<sub>2</sub> (Billings et al., 2002, 2004).

The Duke Forest Free-Air CO<sub>2</sub> Enrichment (FACE) experiment was the first of several ecosystem-scale experiments designed to explore the effects of CO<sub>2</sub> fertilization on plant productivity, water relations, and biogeochemical cycling. The experiment was constructed in an aggrading loblolly pine plantation. After 6 years of CO<sub>2</sub> fumigation, significant increases in tree growth (DeLucia et al., 2005), primary productivity (Finzi et al., 2002; Norby et al., 2005), forest-floor C sequestration (Lichter et al., 2005), root growth and turnover (Matamala & Schlesinger, 2000; Matamala et al., 2003; Pritchard et al., 2008), and soil respiration (Bernhardt et al., 2006; Taneva et al., 2006) were observed in response to the elevated atmospheric CO<sub>2</sub> treatment. Even after 9 years of experimental CO<sub>2</sub> fertilization, attenuation of the CO2-induced productivity enhancement had not been observed (Finzi et al., 2006). Finzi et al. (2007) conducted a N mass-balance study of several FACE experiments and concluded that increased N demand accompanying CO<sub>2</sub> fertilization at the Duke Forest and elsewhere was met through greater uptake from soils. Consistent with this result, Billings & Ziegler (2005, 2008) reported increased activity of microorganisms adept at mineralizing recalcitrant SOM pools under N limitation. Here, we present time series measurements covering the first 9 years of experimental CO<sub>2</sub> fertilization (1996-2005) to document changes in forest-floor C and N content, mineral-soil C and N content, aboveground litterfall, C turnover, and

in the chemistry of forest floor and SOM. Our results extend earlier studies quantifying soil C and N content and turnover by 3 years at the Duke Forest FACE experiment to better understand the capacity of forests to sustain enhanced productivity and C sequestration under elevated  $CO_2$  (i.e. Schlesinger & Lichter, 2001; Lichter *et al.*, 2005).

# Materials and methods

# Site description and experimental design

The Duke Forest FACE experiment was constructed in a 15-year-old stand of loblolly pine (Pinus taeda) in Chapel Hill, NC, USA. Before planting 3-year-old seedlings in 1983, the 32 ha site was logged of 40- to 60-year-old mixed stands of loblolly pine and Virginia pine (Pinus virginiana), and was drum-chopped and burned. Since then, sweet gum (Liquidambar styraciflua), red maple (Acer rubrum), red bud (Cercis canadensis), and dogwood (Cornus florida) have established from seed and re-sprouted from stumps throughout the site; however, loblolly pine comprises 98% of the aboveground biomass. Soils are clay loams of the Enon series described as Ultic alfisols derived from mafic bedrock (US Department of Agriculture, 1977). They are relatively homogeneous, acidic, and have well-developed profiles of mixed clay mineralogy. The topsoil is underlain by 5 m of saprolite, below which lies highly fractured granodiorite or diorite bedrock (Andrews & Schlesinger, 2001). The elevation ranges up to 15 m across the 32 ha site with local topographic relief generally  $<1^{\circ}$ . The static water table lies at 6 m depth, but the site drains poorly and surface soils are often saturated during periods of high precipitation. The mean annual temperature is 15.5 °C and the mean annual precipitation is 1140 mm.

Beginning on August 27, 1996, the elevated atmospheric CO<sub>2</sub> treatment was maintained continuously 24 h day<sup>-1</sup> at 200  $\mu$ L L<sup>-1</sup> above ambient in three 30 m diameter circular treatment plots (Hendrey *et al.*, 1999). Three identical control plots were maintained at ambient atmospheric CO<sub>2</sub> levels. Starting in December 2002, the elevated CO<sub>2</sub> treatment was reduced to daylight hours only. The fumigation CO<sub>2</sub> derives from natural gas and consequently has a strongly depleted  $\delta^{13}$ C signature (i.e. -43.0 ± 0.6), where

$$\delta^{13}C = \left[\frac{{}^{13}C/{}^{12}C_{sample} - {}^{13}C/{}^{12}C_{reference}}{{}^{13}C/{}^{12}C_{reference}}\right] \times 1000.$$

We traced the incorporation of new C into SOM by change in the  $\delta^{13}$ C signature to estimate the turnover rates of C in bulk mineral soils and SOM pools.

#### Sample collection and laboratory analysis

Samples of the forest-floor and upper mineral soil (0-15 cm depth) were collected in August 1996, October 1999, August 2002, and August 2005. The October 1999 sampling was delayed by Hurricane Frank. During each sample collection, forest-floor samples were separated from the mineral soil by hand, and mineral soil samples were retrieved in a 4.76 cm diameter soil core driven with a slide hammer at stratified random positions within each of the six FACE plots. All samples were dried at 50 °C for 5 days before weighing. For the August 2005 sampling, the forest-floor samples were subsequently divided into Oi and Oa subhorizons before chemical analyses. The mineral soils were dried and sieved with a 2mm brass screen to remove stones and coarse roots, and the volume and mass of the stones were determined for each sample to estimate field bulk density  $(g \text{ cm}^{-3})$ . Coarse roots were removed manually from the forest-floor samples, which were ground with a ball mill to a fine powder for chemical analyses. The sieved mineral soil samples were subsampled, and the free light fraction (plant residues) was removed via density fractionation. To do so, a well-mixed 5g subsample of mineral soil was suspended in 35 mL of  $1.85 \,\mathrm{g}\,\mathrm{cm}^{-3}$  polytungstate solution and centrifuged for 60 min (Christensen, 1992; Cambardella & Elliott, 1993; Lichter et al., 2005). The free light fraction floating on the solution surface was removed by aspiration, rinsed, and dried at 105 °C before chemical analysis.

The forest-floor and bulk mineral soil samples were analyzed for %C, %N, <sup>13</sup>C, and <sup>15</sup>N by the Stable Isotope Laboratory at the University of California, Davis. Stable isotope ratios of C and N were measured by continuous flow isotope ratio mass spectrometry (IRMS) with a 20–20 mass spectrometer (PDZEuropa, Northwich, UK) after sample combustion to CO<sub>2</sub> and N<sub>2</sub> at 1000 °C in an on-line elemental analyzer (PDZEuropa ANCA-GSL). The gases were separated on a Carbosieve G column (Supelco, Bellefonte, PA, USA) before introduction to the IRMS. The working standards used were a mixture of ammonium sulfate and sucrose, which were periodically calibrated against international isotope standards (i.e. Pee Dee Belamnite, PDB).

We characterized organic matter from the forest floor and the free light fraction of the upper mineral soil with tetramethylammonium hydroxide thermochemolysis gas chromatography (Frazier *et al.*, 2003). Chemical signatures for lignin-derived compounds, carbohydrate-derived compounds, and membrane fatty acids were quantified from the forest-floor Oa and Oi subhorizons, as well as the free light fraction of upper mineral soil. Compounds characteristic of the three compound classes were quantified using measurements of peak area relative an internal standard (i.e. eicosane; Sigma-Aldrich, Milwaukee, WI, USA).

In late October and early November of 2005, a second set of mineral soil samples was collected for particlesize separation and chemical fractionation of SOM. These samples were collected from the six plots described above and from two additional plots: a 'prototype' plot that has been exposed to elevated  $CO_2$  since 1994, and its counterpart control. A 5 cm diameter soil core was driven from the surface of the mineral soil to a depth of 30 cm in four random locations within each FACE plot. The samples from each plot were composited, dried, and sieved with a 2mm screen. The fine roots remaining in the sieved soils were removed by hand.

For particle-size fractionation, 25 g subsamples were separated into water-stable aggregates by mixing with 75 mL of deionized water and agitating vigorously. The slurry mixtures were then wet-sieved through a series of sieves (2000, 500, and 53  $\mu$ m) into a receiving pan for 30 min on a shaker (New Brunswick Scientific, Edison, NL USA). Each fraction was washed and decanted onto the sieve(s) 10 times to ensure complete separation of the three size fractions. Sieve size was chosen based on Schlesinger & Lichter (2001), who assessed bulk soil and SOM size fraction  $\delta^{13}$ C values at this site to trace new C inputs into SOM pools after 3 years of exposure to elevated CO<sub>2</sub>. We compared  $\delta^{13}$ C values and new C incorporation in SOM pools among samples collected at 3 years (Schlesinger & Lichter, 2001) and 9 years (this study).

For the chemical fractionation, a second set of 10g subsamples from the late 2005 sampling was subjected to acid hydrolysis (Leavitt et al., 1996). Plant residues were removed by immersing subsamples in a NaCl  $(1.2 \,\mathrm{g}\,\mathrm{cm}^{-3})$  solution, mixing, and picking out the floating material. The remaining soil was washed free of salt, dried, and then examined under a  $20 \times$  microscope. Visible plant parts were removed via forceps. Removal of recognizable plant material was critical to limit the influence of the relatively <sup>13</sup>C-depleted organic matter of new plant tissue on the  $\delta^{13}$ C signatures (Leavitt *et al.*, 1996). Subsamples of the plant-free soils weighing 3g were subjected to 18h of acid hydrolysis with boiling 6N HCl (NF/FCC grade, Fisher Scientific, Pittsburgh, PA, USA). After cooling, the acid-soil mixture was filtered and the residual soil, designated as the nonhydrolyzable fraction, was washed and prepared for chemical analysis. The supernatant was heated to evaporate the liquid. The remaining material, designated as the hydrolyzable fraction, was washed and prepared for chemical analysis. Soil fractions from both physical and chemical fractionation methods along with bulk soil subsamples were dried at 70 °C for at least

48 h. We weighed all SOM fractions to calculate the percentage of total soil mass in each, and pulverized the bulk soil subsamples and soil fractions to a fine powder. The SOM fractions and bulk soils were analyzed by the stable isotope laboratory at the University of Arkansas for %C and  $\delta^{13}$ C with a Finnigan Delta<sup>+</sup> mass spectrometer (Finnigan MAT, Bremen, Germany) coupled to a Carlo Erba elemental analyzer (NA1500 CHN Combustion Analyzer, Carlo Erba Strumentazione, Milan, Italy) via a Finnigan Conflo II Interface (Bremen, Germany). Samples were analyzed using plant leaf (NIST 1547 and NIST 1570A) and low organic soil reference standards in conjunction with working standards of acetanilide and spinach leaves. The  $\delta^{13}$ C values were measured relative to high purity, reference gas standards expressed relative to international standard PDB.

A third set of soil samples was collected for comparison with deep soil samples obtained during the construction of the FACE apparatus. In 1996, excavation for the FACE towers offered an opportunity to sample the entire soil profile. Samples collected at 0–7.5, 7.5–15, 15–35, and 60–90 cm depths were sieved (2 mm), dried, and archived. In February 2005, four soil cores (4.76 cm diameter) were collected from random positions beneath the boardwalks within each of the six FACE plots. Each core was divided into sections of 0–25, 25–50, 50–75, 75–100, 100–125, and 125–150 cm depth. Samples were sieved, dried, and prepared for chemical analyses as were the upper mineral soil samples (0–15 cm depth) described above.

### Forest-floor dynamics

The mean turnover rate (k) of soil horizons under nonsteady-state conditions can be estimated from

$$C_t = C_0 e^{-kt} + \frac{I}{k} (1 - e^{-kt}), \qquad (1)$$

where  $C_t$  is the C content at time t,  $C_0$  is the initial carbon content, I is the mean annual C input from litterfall and root turnover, and k is the decomposition constant (Davidson & Hirsch, 2001; Schlesinger & Lichter, 2001). We measured  $C_t$ ,  $C_0$ , and I directly for the forest floor, and solved for *k* by iteration for each of the six FACE plots. The value of k derived in this manner represents the proportion of organic matter that decomposes each year for the entire forest floor (e.g. Lichter et al., 2005). It is not directly comparable with the value of k derived from litterbag studies because it summarizes the average annual decomposition rate for the entire forest floor including relatively new litter and older, partially decomposed organic matter. The mean residence time (MRT) is 1/k, and the predicted steadystate C content (C<sup>\*</sup>) is I/k. Total decomposition of

insoluble organic C occurring in each FACE plot over the 9-year time period was calculated as:

$$\sum \text{Decomposition} = C_0 + \sum I - C_t, \quad (2)$$

A comparison of the total decomposition of insoluble organic C between elevated  $CO_2$  and control plots indicates whether microbial decomposition was altered by the elevated  $CO_2$  treatment.

To estimate carbon inputs to the forest floor, we summed monthly litterfall measurements over the 9-year time period and multiplied litter dry weight by mean %C. Because we do not have reliable estimates of fine-root turnover in the forest floor that excluded the upper mineral soil, we relied solely on measurements of aboveground litter production to estimate carbon inputs to the forest floor. Using mini-rhizotrons, Pritchard et al. (2008) estimated fine-root turnover of  $\sim$ 78 g C m<sup>-2</sup> yr<sup>-1</sup> under elevated and 62 g C m<sup>-2</sup> yr<sup>-1</sup> under ambient  $CO_2$  in the upper 30 cm of soil including the forest floor for a 6-year period 1998-2004. Of these amounts,  $<10 \,\mathrm{gC} \,\mathrm{m}^{-2} \,\mathrm{yr}^{-1}$  was likely to accumulate in the forest floor based on fine-root profile information, whereas aboveground litterfall averaged across the treatment plots was two orders of magnitude greater (i.e.  $382 \pm 22.9 \,\mathrm{gC}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$ ).

We used information about change in the  $\delta^{13}$ C ratio of the forest floor and estimates of the  $\delta^{13}$ C ratio of litterfall and new roots to validate our estimates of C turnover in the forest floor. After estimating *k* for each of the elevated rings, we weighted Eqn (1) with estimates of the  $\delta^{13}$ C of the original C pool, the  $\delta^{13}$ C of the C pool after 9 years, and the average  $\delta^{13}$ C of C inputs during the 9-year period [i.e.  $C_t(\delta^{13}C_t) = C_0 e^{-kt}(\delta^{13}C_0) + (I/k)(1 - e^{-kt})(\delta^{13}C_{new})]$  to estimate the  $\delta^{13}$ C ratio of the forest floor for the elevated rings at the end of 9 years. These estimates were then compared with our measurements of the mean forest-floor  $\delta^{13}$ C for the elevated CO<sub>2</sub> rings as a check on the estimates of forest-floor C turnover under the elevated CO<sub>2</sub> treatment.

# Mineral SOM dynamics

For the bulk mineral soil, the  $\delta^{13}$ C value of the fumigation gas allows an indirect estimation of the steady-state C turnover and annual C inputs for the elevated CO<sub>2</sub> rings. The fumigation gas rapidly becomes incorporated into plant biomass and begins to alter the  $\delta^{13}$ C value of C inputs to the soil as a result of root turnover (Matamala *et al.*, 2003). Unlike the forest floor, we do not have direct estimates of the annual C inputs, *I*, for the bulk mineral soil, which with estimates of C pools would allow us to derive an estimate of *k*. However, we can indirectly estimate *k* from the change in  $\delta^{13}$ C value of SOM in the elevated CO<sub>2</sub> rings, and then multiply this estimate by the C pool size to estimate the inputs required to produce the observed change in  $\delta^{13}$ C. In doing so, we assume that the C pools are in steady state in terms of *k* and *I*. See Lichter *et al.* (2005) for the methods of inferring C turnover based on change in the  $\delta^{13}$ C values of SOM.

# Statistical analyses

The mean of samples collected within each FACE plot was considered the experimental unit for all statistical analyses testing for an elevated CO<sub>2</sub> treatment effect. The sample size is therefore only three or four per treatment for these analyses, which provides limited statistical power. However, the development of time series over several years should help detect significant trends in soil properties. We used both linear and nonlinear mixed-effects models to describe change in soil properties over time and to test for statistically significant treatment effects. Mixed-effects models are useful for analyzing longitudinal, repeated measures, and multilevel data (Pinheiro & Bates, 2000). They incorporate both fixed and random effects and model the covariance structure related to repeated measurements of the experimental unit over time. For our analyses, the experimental treatment and year (i.e. change over time) were fixed effects, and variation in measurements of the six experimental rings over time was considered a random effect. For the forest-floor properties, we used a nonlinear asymptotic model to compare the asymptote, initial conditions, and rate of increase between elevated and control rings. For the mineral soil properties, we used a linear model in which the fixed effects were crossed as a factorial (i.e. time × treatment). The *P*-value for the time × treatment interaction term indicated the influence of the elevated CO<sub>2</sub> treatment on the response variable during the period of the experiment. Statistical analyses were performed with S-PLUS v. 8.0 (Insightful Corporation, Seattle, WA, USA) (Insightful Corporation, 1988, 2007). A self-starting asymptotic model command (i.e. SSasymp) in S-PLUS or R was used to fit the forest-floor time series for each experimental FACE ring. The mean parameter estimates of elevated and ambient CO2 rings were subsequently compared with a *t*-test.

### Results

# Forest-floor C dynamics

During the first 6 years of the experiment, forest-floor mass, C content, and N content increased linearly under both elevated and ambient  $CO_2$ , and a significant treatment × time interaction indicated that organic matter



**Fig. 1** Time series illustrating changes in forest-floor mass (a), C content (b), N content (c), and C:N ratio (d) between 1996 and 2005.

accumulated more rapidly under the elevated  $CO_2$  treatment (Fig. 1a–c). Between years 6 and 9, these forest-floor properties leveled off, each appearing to reach its respective steady state. Nonlinear mixed-effects analyses showed that treatment effects influencing the estimated steady-state asymptotes and rates of increase were not statistically significant (Table 1). The C:N ratio of the forest floor under both elevated and ambient  $CO_2$  concentrations fluctuated without a

discernable treatment effect (Fig. 1d). Consistent with a first-order exponential model describing incorporation of new C into the forest floor, the  $\delta^{13}$ C signature of the forest floor of elevated CO<sub>2</sub> rings decreased by a smaller amount during each successive 3-year sampling period (Fig. 2).

Annual C inputs to the forest floor increased significantly during the 9-year period in both elevated and ambient CO<sub>2</sub> rings; however, the time × treatment interaction illustrating the effect of the elevated CO<sub>2</sub> treatment was only statistically significant through the first 6 years of the experiment (Fig. 3a; Lichter et al., 2005). Cumulative C inputs summed over the entire time series were greater under elevated CO<sub>2</sub> with an average annual increase of litterfall of 48 ( $\pm$  14)gCm<sup>-2</sup> (Fig. 3b). Estimates of total decomposition, turnover rate (*k*), MRT, and steady-state C content derived from Eqns (1) and (2) along with estimates of the C content and inputs to the forest floor are given for each of the six FACE plots (Table 2a). In contrast to the results after 6 years of experimental CO<sub>2</sub> fumigation (Lichter et al., 2005), there were no statistically significant treatment effects for total decomposition, k, MRT, or the steady-state C content of the forest floor after 9 years of CO<sub>2</sub> fumigation (Table 2b). Using change in the  $\delta^{13}$ C signature of the forest floor under elevated CO<sub>2</sub> (Fig. 2) along with Eqn (1), we checked our estimate of k for the elevated CO<sub>2</sub> plots and found a good agreement with the mean value of k estimated for the elevated CO<sub>2</sub> plots from measurements of C inputs and losses (i.e. 0.41 vs.  $0.39\pm0.03$ , Table 2).

The chemical composition of the Oi and Oa portions of the forest floor and organic matter in the upper mineral soil was assessed using tetramethylammonium hydroxide thermochemical GC–MS (Frazier *et al.*, 2003). The analysis yielded chemical signatures for lignin and fatty acid-derived compounds; however, no treatment differences were detected among the three soil horizons (data not shown).

# SOM dynamics

Time series measurements of C and N concentrations and contents in the upper bulk mineral soil (0–15 cm depth) failed to detect any statistically significant changes over the 9-year period (Table 3). However, significant changes in the C:N ratio in both elevated and ambient  $CO_2$  rings were observed. The time × treatment interaction was also statistically significant, indicating that the C:N ratio of SOM in the upper 15 cm of mineral soil increased at a greater rate under the elevated  $CO_2$  treatment than under the ambient  $CO_2$ control (Fig. 4). Change in the  $\delta^{13}C$  signature of the upper mineral soil under the elevated  $CO_2$  treatment

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Table 1   Parameter values of a nonlinear mixed-effects model for forest floor prop	verties
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	Ambient CO <sub>2</sub>	Elevated CO <sub>2</sub>	<i>t</i> -Statistic	<i>P</i> -value
Forest floor mass (g dry ON	$(1  {\rm m}^{-2})$			
Asymptote	$3120\pm843$	$3214 \pm 458$	0.098	0.928
Initial amount	$1239\pm236$	$1138\pm81$	0.403	0.719
Rate of increase	$0.327 \pm 0.176$	$0.773 \pm 0.397$	1.028	0.386
Forest floor carbon (g C m <sup>-</sup>	-2)			
Asymptote	$918\pm82$	$1189 \pm 114$	1.93	0.133
Initial amount	$519\pm106$	$484\pm22$	0.32	0.778
Rate of increase	$0.339 \pm 0.057$	$0.748 \pm 0.418$	0.971	0.431
Forest floor nitrogen (g N n	$n^{-2}$ )			
Asymptote	$20.2\pm1.3$	$26.5\pm3.8$	1.55	0.237
Initial amount	$5.0 \pm 1.0$	$4.5\pm0.4$	0.42	0.708
Rate of increase	$0.339\pm0.057$	$0.748\pm0.418$	0.40	0.719



**Fig. 2** Change in  $\delta^{13}$ C signature of the forest floor under elevated and ambient CO<sub>2</sub> concentration.

was also significant. Our estimate of turnover (*k*) of SOM in the upper bulk mineral soil (0–15 cm depth) was 0.039, generating an MRT of 25.7 years. The annual C input required to maintain steady-state C levels in the upper 15 cm of mineral soil was  $89.5 \text{ g C m}^{-2} \text{ yr}^{-1}$ .

The particle-size fractionations of SOM from the second set of mineral soil samples collected from 0 to 30 cm depths in 2005 generated estimates of the C inputs necessary to produce the observed changes in  $\delta^{13}$ C values (Fig. 5a). The large and mid-sized particlesize fractions exhibited  $\delta^{13}$ C values similar to the values for bulk soil  $(-30.5 \pm 0.7\%)$  averaged for both size fractions), whereas the smallest size fraction exhibited relatively enriched  $\delta^{13}$ C values (-27.2 ± 0.5‰). The C inputs required to generate the  $\delta^{13}$ C signatures that we observed in the bulk soils from the upper 30 cm depth,  $109.1 \pm 13.8 \,\mathrm{g}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$ , were consistent with those derived from the upper 15 cm depth. Approximately 20% of C inputs enter the smallest and most stable size fraction. Acid hydrolysis fractionation of SOM also indicated that  $\sim 20\%$  of C inputs enter the most stable fraction (Fig. 5b).



**Fig. 3** Time series showing annual (a) and cumulative (b) C inputs to forest floor under both elevated and ambient atmospheric CO<sub>2</sub>.

We did not detect significant elevated CO<sub>2</sub> effects on the C and N concentrations and contents of the deep mineral soil horizons (Table 4). There was a significant change in the  $\delta^{13}$ C signature of SOM in the upper 25 cm of mineral soil under the elevated CO<sub>2</sub> treatment similar to the change observed in the upper 15 cm (Table 3), as well as a marginally significant change in  $\delta^{13}$ C signature between 125 and 150 cm depth (i.e. *P* = 0.077), suggesting root turnover at these depths. Total organic C content summed across the upper 150 cm of mineral soil in ambient and elevated plots was 6996.3 ± 284.5

$\sum I$	-					
<u> </u>	$C_0 + \sum I$	C <sub>9</sub>	Total decomposition	k	MRT (year)	C*
2789	3117	694	2423	0.443	2.26	700
3403	3857	833	3024	0.450	2.22	840
3928	4475	1225	3250	0.347	2.88	1256
3673	4151	1075	3076	0.372	2.69	1097
3606	4184	917	3267	0.434	2.30	924
3487	4168	760	3408	0.509	1.96	760
	2789 3403 3928 3673 3606 3487	2789   3117     3403   3857     3928   4475     3673   4151     3606   4184     3487   4168	2789   3117   694     3403   3857   833     3928   4475   1225     3673   4151   1075     3606   4184   917     3487   4168   760	2789 3117 694 2423   3403 3857 833 3024   3928 4475 1225 3250   3673 4151 1075 3076   3606 4184 917 3267   3487 4168 760 3408	2789311769424230.4433403385783330240.45039284475122532500.34736734151107530760.3723606418491732670.4343487416876034080.509	2789311769424230.4432.263403385783330240.4502.2239284475122532500.3472.8836734151107530760.3722.693606418491732670.4342.303487416876034080.5091.96

**Table 2** Estimates of C pools, inputs, turnover, and long-term steady-state C storage for the forest floor given for (a) each experimental FACE plot and (b) treatment effects over a 9-year period

#### (b) Treatment effects

	Total decomposition	k	MRT	C*	
Ambient CO <sub>2</sub> control	$3032\pm307$	$0.46\pm0.02$	$2.18\pm0.11$	$795\pm67$	
Elevated $CO_2$ treatment	$3116 \pm 68$	$0.39\pm0.03$	$2.60\pm0.20$	$1064 \pm 121$	
df	1, 4	1, 4	1, 4	1, 4	
t	0.26	1.85	1.89	1.95	
Р	0.80	0.14	0.13	0.12	

*Note*: All results given as  $g C m^{-2}$  except for the unitless variable *k* and MRT. Treatment effects were tested with the *t*-test. Error values are  $\pm SE$  for each mean.

and 7813.4  $\pm$  732.4 g m<sup>-2</sup>, respectively. Total soil N (0–150 cm depth) in ambient and elevated plots was 397.6  $\pm$  15.2 and 462.1  $\pm$  27.8 g m<sup>-2</sup>, respectively.

# Discussion

#### Forest-floor dynamics

The incremental accumulation of organic matter in the forest floor under elevated atmospheric  $CO_2$  represents a dynamic C sink of  $\sim 271 \,\mathrm{gC\,m^{-2}}$  over 9 years or  $\sim 30 \,\mathrm{gC\,m^{-2}\,yr^{-1}}$ . This sink is dependent on enhanced C inputs through aboveground litterfall. We observed no treatment effects on the chemical composition of forest-floor and upper mineral SOM or in the rate of decomposition of the forest floor. Although forest-floor organic matter and C and N contents appear to have reached their respective steady states after 6 years, variability in organic matter inputs to the forest floor over time and between the elevated and ambient  $CO_2$  treatment plots leaves the question of steady states uncertain.

Interannual variability in the treatment effects on litterfall and forest-floor C content is likely to be related to extreme weather events. In December of 2002, a severe ice storm resulted in a substantial reduction in living biomass and an increase in detrital inputs to the forest floor (McCarthy *et al.*, 2006). The time series of annual C inputs to the forest floor, of which above-ground litterfall comprises >95%, showed a significant

treatment effect during the first 6 years of the experiment (Lichter *et al.*, 2005), followed by 2 years without a significant treatment difference, and then a return to a significant (i.e. ~17%) net annual increment in the 9th year similar in magnitude to the treatment effect before the storm (Fig. 3). The influence of CO<sub>2</sub> fertilization on litterfall in the aftermath of the ice storm was likely overwhelmed by inputs of broken branches and leaves that were randomly distributed in relation to the elevated CO<sub>2</sub> treatment. The return of the treatment effect on litterfall in the third year following the ice storm suggests a continuous influence of elevated CO<sub>2</sub> on litterfall that was masked by storm damage during the seventh and eighth years.

In addition to the effects of the ice storm, a substantial drought (1999-2002; Weaver, 2005) may also have influenced the accumulation of organic matter in the forest floor, as well as SOM pools in the upper mineral soil. An increase in the C:N ratio of forest-floor organic matter between 1999 and 2002 under both elevated and ambient CO<sub>2</sub> suggests that overall rates of decomposition decreased during that 3-year period. To explore temporal variation in forest-floor decomposition rates, we calculated a decomposition coefficient (k) for each of the six treatment plots for each 3-year time interval (i.e. n = 18) using Eqn (1). The overall decomposition coefficients for each of the three time intervals (i.e. 1996–1999, 1999-2002, 2002-2005) averaged over the six rings were  $0.321 \pm 0.041$ ,  $0.278 \pm 0.013$ , and  $0.420 \pm 0.018$ , respectively. Relatively low rates of decomposition during the

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Table 3 Time series of bulk mineral soil properties for the upper 15 cm depth

Property	Year	Ambient	Elevated	df	t	Р
Field bulk density	1996	_	_			
	1999	1.17 (0.04)	1.12 (0.02)			
	2002	1.13 (0.04)	1.06 (0.02)	10	1.23	0.245
	2005	1.09 (0.06)	1.12 (0.03)			
%C	1996	1.36 (0.06)	1.53 (0.07)			
	1999	1.31 (0.07)	1.59 (0.07)			
	2002	1.85 (0.25)	1.94 (0.05)	16	-0.03	0.337
	2005	1.66 (0.21)	1.56 (0.13)			
%N	1996	0.08 (0.007)	0.09 (0.001)			
	1999	0.07 (0.007)	0.09 (0.01)			
	2002	0.10 (0.010)	0.10 (0.004)	16	-1.34	0.198
	2005	0.08 (0.011)	0.07 (0.007)			
C:N	1996	18.19 (0.86)	17.19 (0.64)			
	1999	18.09 (0.91)	18.99 (1.18)			
	2002	18.71 (0.51)	20.49 (1.43)	16	2.27	0.037
	2005	21.65 (1.21)	23.60 (0.92)			
Total C ( $g m^{-2}$ )	1996	1977 (18)	2142 (94)			
Ū	1999	1901 (52)	2208 (136)			
	2002	2407 (141)	2734 (96)	16	-0.61	0.553
	2005	2164 (152)	2096 (175)			
Total N ( $g m^{-2}$ )	1996	109 (6.4)	125 (3.2)			
0	1999	106 (8.5)	119 (13.6)			
	2002	133 (12.3)	145 (7.0)	16	-1.00	0.333
	2005	102 (11.0)	93 (10.3)			
$\delta^{13}$ C	1996	-26.00 (0.14)	126.09 (0.40)			
	1999	-26.24 (0.18)	-28.05 (0.20)			
	2002	-26.64 (0.18)	-29.75 (0.08)	16	-5.75	< 0.0001
	2005	-27.40 (0.04)	-30.86 (0.32)			



**Fig. 4** Change in the C:N ratio of the upper bulk mineral soil (0–15 cm depth) over 9 years of experimental CO<sub>2</sub> fertilization.

first and second time intervals were followed by a large increase in decomposition during the final 3-year interval. Temporal variation in soil moisture and decomposition rate potentially explain the wide fluctuations in C:N ratio of the forest floor; and the increase in C:Nratios between 1999 and 2002 is consistent with a slowing of decomposition during those years. Conversely, a decrease in C:N ratios after 2002 is consistent with a return to normal rainfall.

#### SOM dynamics

The increase in the C:N ratio of the upper mineral soil under both elevated and ambient CO<sub>2</sub> is indicative of the removal of soil N during forest aggradation (Rastetter et al., 1997; Hooker & Compton, 2003; Johnson, 2006). The more rapid increase in C:N ratio under the elevated CO<sub>2</sub> treatment than under the ambient CO<sub>2</sub> control suggests that the enhanced N demand associated with the elevated CO<sub>2</sub> treatment was met by transfer of nitrogen from narrow C:N pools in the soil (Rastetter et al., 1997; Luo et al., 2004; Gill et al., 2006). Such a transfer of N was not detected in the bulk SOM pools in part because the absolute quantity of N immobilized in tree biomass is a small fraction of the total quantity of N in the soil (Finzi et al., 2006). In addition, the estimates of soil N content are based on the chemical analysis of soil subsamples, the results of which are multiplied by estimates of field bulk density for each soil sample. Therefore, the estimates of total N content



**Fig. 5** (a)  $\delta^{13}$ C signatures of bulk mineral soil and particle-size fractions in control plots (black circles) and after 3 years (gray triangles) and 9 or 11 years (light-gray squares) of exposure to elevated  $CO_2$  at the Duke FACE experiment (0–30 cm). (b) Bulk soil and acid hydrolysis fractions in control plots (black circles) and elevated CO<sub>2</sub> plots (gray squares). Data collected at 3 years of elevated CO<sub>2</sub> exposure in (a) are redrawn from Schlesinger & Lichter (2001). Rates below symbols are net rates of soil organic carbon (SOC) accrual across the study period, and reflect the exposure of the three elevated CO<sub>2</sub> plots to 9 years of CO<sub>2</sub> fumigation and one plot (the 'prototype' plot; Oren et al., 2001) to 11 years of elevated CO2. Rates in (b) for the two acid hydrolysis fractions do not add to the bulk soil rate because of the likely loss of CO<sub>2</sub> during hydrolysis, and the removal of all visible plant parts before hydrolysis; see the text for details of method.

are unavoidably less well constrained than are estimates of C:N ratios, which are derived directly from laboratory measurements of C and N concentrations.

Our results describing soil C pools under elevated atmospheric  $CO_2$  are consistent with numerous studies, indicating a limited capacity of soils for  $CO_2$ -stimulated carbon sequestration (Hungate *et al.*, 1997; Gill *et al.*, 2002; Hagedorn *et al.*, 2003). In contrast, Jastrow *et al.* (2005) found a substantial increase in soil C in the upper

15 cm of mineral soil under elevated  $CO_2$  associated with a large increase in fine-root turnover under elevated  $CO_2$ . Importantly, much of this new C became incorporated into microaggregates, especially in the upper 5 cm. Microaggregates increase the duration of SOM by physically limiting access of soil microorganisms (Christensen, 1996). Jastrow *et al.* (2005) concluded that studies measuring SOM from the upper 10–20 cm depths may mask potential changes in the uppermost soil by including deeper soil less likely to have been influenced by the elevated  $CO_2$  treatment. This may be true with previous studies at the Duke Forest FACE experiment, where soil samples were collected from the upper 15 cm depth for SOM fractionation studies (i.e. Schlesinger & Lichter, 2001; Lichter *et al.*, 2005).

At Oak Ridge, Jastrow *et al.* (2005) estimated a net increment of  $44 \pm 9 \text{ gCm}^{-2} \text{ yr}^{-1}$  of additional soil C storage in the upper 15 cm of mineral soil under elevated CO<sub>2</sub>. Such an increase in C inputs to the mineral soil is unlikely at the Duke Forest FACE experiment, where only ~89 gCm<sup>-2</sup> of annual inputs are required to produce the observed change in  $\delta^{13}$ C signature of the upper 15 cm of mineral soil under the elevated CO<sub>2</sub> treatment, and only about 25%, or  $18 \text{ gCm}^{-2} \text{ yr}^{-1}$  of additional carbon would enter the upper 15 cm of mineral soil under the elevated CO<sub>2</sub> treatment. After 9 years, a total accumulation of  $162 \text{ gCm}^{-2}$  would not be readily detected given the inherent spatial heterogeneity of soil properties and the statistical limitations of the experiment (Hungate *et al.*, 1996; Lichter *et al.*, 2005).

Consistent with Jastrow et al. (2005), we found soil fractionation important for revealing important features of mineral soil C formation with forest development, even when aggregating mineral soil from the surface to 30 cm depth. Smaller particle-size fractions were enriched in <sup>13</sup>C relative to larger fractions, consistent with other studies describing <sup>13</sup>C enrichment of the relatively old SOM typically associated with silt and clay (Ehleringer et al., 2000). Chemical fractionation data present additional challenges for interpretation; in spite of the recalcitrant nature of the nonhydrolyzable fraction and its presumably older C (Leavitt et al., 1996), this fraction exhibited depleted  $\delta^{13}$ C values relative to the hydrolyzable fraction (P < 0.05; -29.1 + 0.04% vs. -24.5 + 0.4%), suggesting substantial annual inputs into this SOM pool. However, these data may reflect losses of <sup>13</sup>C-depleted C during acid hydrolysis via decarboxylation that generated relatively enriched  $\delta^{13}$ C values of hydrolyzable organic material (Billings, 2006). Because C lost during acid hydrolysis is likely lost preferentially from the relatively hydrolyzable fraction, such losses do not affect our estimates of C inputs entering the nonhydrolyzable fraction. Here, we focus on the nonhydrolyzable and smallest particle-size fractions,

Table 4	Mineral soil	properties	from 0 to	150 cm de	pth after 9	years of $CO_2$ i	fertilization
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	%C	%N	C:N	Total C (g m <sup>-2</sup> )	Total N (g N m $^{-2}$ )	$\delta^{13}$ C (‰)
0–25 cm depth	L					
Ambient	0.973 (0.064)	0.038 (0.003)	26.25 (3.090)	3917.80 (257.69)	153.43 (11.27)	-25.72 (0.29)
Elevated	1.420 (0.178)	0.040 (0.006)	27.13 (0.006)	4323.73 (719.26)	160.71 (25.60)	-28.56 (0.95)
P value	0.614	0.805	0.795	0.623	0.808	0.045
25-50 cm dept	h					
Ambient	0.328 (0.012)	0.022 (0.002)	15.28 (1.30)	1102.96 (19.35)	74.01 (5.66)	-23.34 (0.25)
Elevated	0.360 (0.014)	0.026 (0.020)	14.48 (0.95)	1188.44 (55.90)	83.58 (8.14)	-23.31 (0.33)
P value	0.164	0.406	0.645	0.222	0.389	0.685
50-75 cm dept	h					
Ambient	0.233 (0.027)	0.019 (0.002)	12.35 (0.66)	875.67 (124.72)	68.92 (4.27)	-22.99 (0.49)
Elevated	0.242 (0.025)	0.121 (0.001)	11.41 (0.83)	875.26 (125.85)	76.91 (4.75)	-23.23 (0.52)
P value	0.819	0.431	0.408	0.819	0.433	0.756
75–100 cm dep	oth					
Ambient	0.141 (0.003)	0.012 (0.001)	11.29 (0.23)	514.73 (11.41)	44.95 (1.35)	-23.09 (0.40)
Elevated	0.167 (0.023)	0.016 (0.001)	10.65 (0.51)	587.60 (74.45)	55.04 (4.80)	-23.60 (0.41)
P value	0.317	0.101	0.314	0.388	0.113	0.427
100–125 cm de	epth					
Ambient	0.107 (0.014)	0.009 (0.001)	11.00 (1.07)	391.72 (50.89)	32.91 (4.34)	-24.32 (0.71)
Elevated	0.122 (0.009)	0.012 (0.001)	9.77 (0.18)	457.46 (33.20)	46.77 (2.40)	-24.00 (0.25)
P value	0.414	0.190	0.321	0.340	0.012	0.439
125–150 cm de	epth					
Ambient	0.056 (0.011)	0.006 (0.001)	9.54 (0.20)	211.63 (40.09)	22.22 (4.34)	-23.29 (0.71)
Elevated	0.097 (0.007)	0.010 (0.001)	9.23 (0.46)	365.46 (27.38)	38.72 (2.41)	-25.83 (0.80)
P value	0.034	0.029	0.574	0.034	0.029	0.077

both typically thought to be composed of slow-turnover soil organic carbon (SOC) (Tiessen & Stewart, 1983; Leavitt *et al.*, 1996; Billings, 2006).

Schlesinger & Lichter (2001) used the same particlesize fractions at this site to reveal only slow accumulation of mineral soil C in this forest after 3 years of exposure to <sup>13</sup>C-depleted CO<sub>2</sub>, congruent with another study of C dynamics in an aggrading loblolly pine forest (Richter et al., 1999). Their work indicated little accumulation of new C in the smallest particle-size fractions. After 9 years of <sup>13</sup>C tracer incorporation,  $\delta^{13}$ C values of our smallest particle-size and nonhydrolyzable fractions suggest that a significant proportion of new C in the mineral soil ( $\sim 20\%$ ) has accrued in wellprotected, stable pools over the last 9 years. Although these pools can contain a high proportion of relatively old C that often appears to accumulate over hundreds of years (Tiessen & Stewart, 1983; Leavitt et al., 1996; Trumbore, 2000), the  $\delta^{13}$ C signatures of these fractions in the current study, however, suggest that this C can form over relatively short time frames during the development of a young pine forest.

#### Conclusions

Previously, after 6 years of experimental  $CO_2$  fumigation, we estimated a long-term steady-state sink of  $454 \pm 146 \,\mathrm{g}\,\mathrm{C}\,\mathrm{m}^{-2}$  (4.52  $\pm 1.46 \,\mathrm{mg}\,\mathrm{C}\,\mathrm{ha}^{-1}$ ) in the forest floor under the elevated CO2 treatment based on estimates of C inputs and turnover (Lichter et al., 2005). After 9 years, as described in the current study, our estimate decreased to  $271 \text{ gCm}^{-2}$  (i.e.  $\sim 30 \text{ gCm}^{-2} \text{ yr}^{-1}$ ). Although a net increment of this magnitude represents a substantial increase in forest-floor C storage under elevated CO<sub>2</sub> (i.e. 29%), a long-term C sink of this magnitude would not greatly lessen the effects of the anthropogenic CO<sub>2</sub> emissions expected over the coming decades (Lichter et al., 2005). We observed no statistically significant treatment effects in the C and N contents of mineral soil down to 1.5 m depth. Although there is some uncertainty in our estimates of soil C pools, the results of this long-term CO<sub>2</sub> fertilization study indicate that mineral soils in coniferous forests do not play a major role in atmospheric CO<sub>2</sub> sequestration. However, of the mineral soil C formed during the past 9 years in this forest, approximately 20% has been allocated to stable pools that will likely remain protected from microbial activity and associated release as  $CO_2$ . Changes in the C: N ratio of the upper mineral soil over the 9-year period does suggest that N is being removed to support the increase in living and detrital biomass under elevated CO2 treatment. Finzi et al. (2006) estimate that an additional  $26 \text{ g N m}^{-2}$  is required to satisfy the increased N demand under elevated CO2 in this forest. Although the treatment effect on upper mineral soil N content was not statistically significant, the difference in the amount of N mobilized under elevated and ambient  $CO_2$  between 2002 and 2005 is in this range (Table 4), suggesting that enhanced rates of SOM decomposition enable this forest to mine the additional N needed to support the observed  $CO_2$ growth enhancement.

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